

| | | | | | |
|---|--|---|--|--|--|
| FORM PTO-1390 (Modified) (REV 11-99) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | A7 KEY'S DOCKET NUMBER 01...-0005 | |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.51) 09/763216 To Be Assigned | |
| INTERNATIONAL APPLICATION NO. PCT/US99/18790 | | INTERNATIONAL FILING DATE 20-August-1999 | | PRIORITY DATE CLAIMED 20-August-1998 | |
| TITLE OF INVENTION Non-Peptide GnRH Agents, Methods and Intermediates for Their Preparation | | | | | |
| APPLICANT(S) FOR DO/EO/US ANDERSON, et al. | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | |
| 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). 8. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. <input checked="" type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 10. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Item: 13 to 20 below concern document(s) or information included: 13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A change of power of attorney and/or address letter. 19. <input type="checkbox"/> Certificate of Mailing by Express Mail 20. <input checked="" type="checkbox"/> Other items or information: 1) Copy of PCT Request Form; 2) Copy of Recordation of Assignment Document; 3) Copy of Forms PCT/RO/102; 4) PCT/RO/105; 5) PCT/RO/106; 6) PCT/ISA/202; 7) PCT/DO/EO/901; 8) PCT/IB/301; 9) PCT/IB/304; 10) PCT/IB/308; 11) PCT/ISA/217; 12) PCT/ISA/220; 13) PCT/IPEA/402; 14) PCT/IB/332; 15) PCT/IB/346; 16) PCT/IPEA/405; 17) Copy of PCT Chapter II Demand; 18) Copy of Response to Invitation to Correct Defects in the International Application; 19) Response to Invitation to Pay Additional Fees; 20) Letter of Protest and Request for Entry of Substitute Pages for Republication; 21) Response to Invitation to Restrict or Pay Additional Fees; 22) Amendment Under PCT Article 34(2)(b) and Letter Under Rule 11.14 Section 66; 23) Copy of Published Application No. WO00/20358; and 24) Two postcards. | | | | | |

| | | | | | |
|---|--|---|--|---|--|
| U.S. APPLICATION NO. (IF KNOWN, SJ PCT/US99/18790 | | INTERNATIONAL APPLICATION NO. PCT/US99/18790 | | FILED 2001 ATTORNEY'S DOCKET NUMBER 0121-0005 | |
| 21. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$690.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00 <input checked="" type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$96.00 | | | | CALCULATIONS PTO USE ONLY | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 | | | | \$0.00 | |
| CLAIMS | | NUMBER FILED | | NUMBER EXTRA | |
| Total claims | | 12 - 20 = | | 0 x \$18.00 | |
| Independent claims | | 10 - 3 = | | 7 x \$78.00 | |
| Multiple Dependent Claims (check if applicable). | | | | <input checked="" type="checkbox"/> | |
| TOTAL OF ABOVE CALCULATIONS | | | | = \$902.00 | |
| Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). | | | | <input type="checkbox"/> | |
| | | | | \$0.00 | |
| SUBTOTAL | | | | = \$902.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 | | | | + | |
| | | | | \$0.00 | |
| TOTAL NATIONAL FEE | | | | = \$902.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). | | | | <input type="checkbox"/> | |
| | | | | \$0.00 | |
| TOTAL FEES ENCLOSED | | | | = \$902.00 | |
| | | | | Amount to be refunded | |
| | | | | \$ | |
| | | | | charged | |
| | | | | \$ | |
| <input checked="" type="checkbox"/> A check in the amount of \$902.00 to cover the above fees is enclosed. | | | | | |
| <input type="checkbox"/> Please charge my Deposit Account No. in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | |
| <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 50-0622 A duplicate copy of this sheet is enclosed. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | |
| SHANKS & HERBERT TransPotomac Plaza 1033 North Fairfax Street, Suite 306 Alexandria, VA 22314 (703) 683-3600 (703) 683-9875 (facsimile) | | | | | |
| <div style="text-align: right;">David W. Woodward SIGNATURE David W. Woodward NAME 35,020 REGISTRATION NUMBER February 20 2001 DATE</div> | | | | | |